

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10719953	
	Filing Date		2003-11-21	
	First Named Inventor	LaComb		
	Art Unit	3692		
	Examiner Name	Fields, Benjamin		
	Attorney Docket Number	130802-1		

U.S.PATENTS							Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1						

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS							Remove
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1						

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

Add

NON-PATENT LITERATURE DOCUMENTS				Remove
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10719953
Filing Date		2003-11-21
First Named Inventor	LaComb	
Art Unit	3692	
Examiner Name	Fields, Benjamin	
Attorney Docket Number	130802-1	

1	Deniz Senturk-Doganaksoy et al, "STATISTICAL PATTERN RECOGNITION AND ANALYSIS", U.S. Application Serial No. 11/301,669, filed Dec. 13, 2005, GE Docket No. 160605-1	<input type="checkbox"/>
2	Christina LaComb et al, "METHODS AND SYSTEMS FOR VISUALIZING FINANCIAL ANOMALIES", U.S. Application Serial No. 11/028,685, filed Jan. 5, 2005, GE Docket No. 136347-1	<input type="checkbox"/>
3	Senturk-Doganaksoy et al., "SYSTEM AND METHOD FOR PREDICTING THE FINANCIAL HEALTH OF A BUSINESS ENTITY", U.S. Application Serial No. 11/744,472, filed May 4, 2007, GE Docket No. 160605-2	<input type="checkbox"/>
4	Bethany Hoogs et al, "METHOD AND SYSTEM FOR DETECTING BEHAVIORAL PATTERNS RELATED TO THE A BUSINESS ENTITY", U.S. Application Serial No. 10/890,836, filed July 14, 2004, GE Docket No. 136354-1	<input type="checkbox"/>
5	Christina LaComb et al, "AUTOMATED UNDERSTANDING, EXTRACTION AND STRUCTURED REFORMATTING OF INFORMATION IN ELECTRONIC FILES ", U.S. Application Serial No. 10/401,259, filed Mar. 27, 2003, GE Docket No. 126311-1	<input type="checkbox"/>
6	Bethany Hoogs et al, "METHOD, SYSTEM AND COMPUTER PRODUCT FOR ANALYZING BUSINESS RISK USING EVENT INFORMATION EXTRACTED FROM NATURAL LANGUAGE SOURCES", U.S. Application Serial No. 10/676,928, filed Sept. 30, 2003, GE Docket No. 131013-1	<input type="checkbox"/>
7		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.